



An ITA Seminar

ITA TWO-DAY TRUST OPERATIONS SEMINAR

The Big Picture of Trust Operations

Amazing value for Cannon training!

November 2-3, 2022

Iowa Bankers Association - Johnston

Presented by Cannon Financial Institute



CANNON FINANCIAL INSTITUTE

This two-day seminar focuses on why trust departments do what they do. As a trust operations professional this seminar will expose you to principles and rules that govern the industry, including regulatory and legal framework within which trust departments and trust companies operate today.

AGENDA FOR BOTH DAYS

| | |
|-----------|---------|
| 9:00 a.m. | Program |
| Noon | Lunch |
| 4:00 p.m. | Adjourn |

Target Audience:

This seminar is designed for any trust operations staff working in personal trust and estate accounts and wealth management. It is developed at the beginning to intermediate level, but any trust operations staff will gain benefit from the information.

Continuing Education

Continuing education hours applied for this program based on 14 hours of instruction.

CTFA Hours -applied
CFP Hours -applied

Sponsored by:



Seminar Highlights

- Uniform Fiduciary Income & Principal Act
- Escheatment: Part I-The Basics
- Escheatment: Part II-Abandoned IRA Accounts
- Escheatment: Part III-Abandoned QRP Participant Account Balances
- Properly Opening and Funding a Trusts
- Designing and Implementing a Complaint Monitoring System
- Benchmarking for Better Management and Control
- Regulatory Examination Update-2022
- Cyber-Security Basics and Beyond
- The Checklist Imperative: Doing Things Right Every Time
- Fee Processing Issues & Risks
- Discretionary, Delegated & Directed Trusts Issues & Risks
- Schedule RC-T Common Mistakes and Errors
- How to Keep Your Firm Up-to-Date and Compliant



Iowa Trust Association Trust Operations Seminar • November 2-3, 2022

REGISTRATION INFORMATION

| | |
|------------|--------------------------------------|
| | <u>Registration Fee (per person)</u> |
| ITA Member | \$600 |
| Nonmember | \$900 |

(Registration fee is per person.)

Refunds less \$20 will be made if requested one week prior to seminar date. No refunds after that date. Substitutions allowed at any time.

Register online or mail this form with payment to:

Attn: Registrar

Iowa Trust Association

8901 Northpark Dr., Johnston, IA 50131

or email to dburnett@iowabankers.com

(you will be invoiced or can request to pay online)

Bank _____

Address _____

City _____ State _____ Zip _____

Phone: _____

1. Name: _____

Email: _____

2. Name: _____

Email: _____

ITA Two-Day Trust Operations Seminar

The Big Picture of Trust Operations



November 2-3, 2022
Iowa Bankers Association - Johnston
*Presented by Cannon Financial Institute &
sponsored by Infovisa*



AGENDA FOR BOTH DAYS

| | |
|-----------|---------|
| 9:00 a.m. | Program |
| Noon | Lunch |
| 4:00 p.m. | Adjourn |

Seminar Location

November 2-3, 2022
Iowa Bankers Association
8901 Northpark Dr., Johnston, IA 50131
Contact ITA's Darcy Burnett at 515-286-4300 or
dburnett@iowabankers.com with questions.

Seminar Location

Lodging

There are a number of hotels close to the IBA building. Contact hotels directly for lodging.
Hilton Garden Inn - 515-270-8890
TownPlace Suites by Marriott - 515-727-4066
Stoney Creek Inn - 515-334-9000

Sponsored by:



About the Speaker

Duane E. Lee, II serves as an Executive Vice President with Cannon Financial Institute. Duane is an incredible expert in the Fiduciary Services Industry. He believes rules of engagement are not merely guidelines but valuable tools that when executed properly, drive increased shareholder value, employee performance, and client satisfaction. Duane served the financial services industry in a variety of roles. His career highlights include; Board Member of an independent trust company, Administrative Vice President-in charge of trust administration and securities operations of a large regional bank, Senior Vice President and Trust Executive managing a trust company supporting a seven member bank holding company and a National Trust Examiner with the Office of the Comptroller of the Currency. It is this unique combination of experiences that provides both a balanced and focused instructional experience.

Iowa Trust



Association

REGISTER TODAY!